



DJ CARMICHAEL PTY LIMITED

'Once Off' Sell Only Application

(This form cannot be used for purchases)

Date _____ Adviser Code _____ Brokerage Rate _____ Account No. _____

** For this account to be set-up **certified copy of identification + other supporting documents** as required depending on the account type must be supplied for each individual/Director (fax ok) to the New Accounts Officer **PRIOR** to transacting on market**

Registration Details

Company Account [Please provide at min cert Certificate of Incorporation, ASIC Company Statement + cert ID for Directors]

ACN/ABN _____

Please indicate which type of Company and insert the Director(s) details below: Sole Director / Secretary Two or more Directors

Individual / Joint Account / Directors details [All of these fields are Compulsory]

Title _____ Given Names _____ Surname _____

Date of Birth _____ Occupation _____ Country of Residence (if not Australian) _____

Title _____ Given Names _____ Surname _____

Date of Birth _____ Occupation _____ Country of Residence (if not Australian) _____

Title _____ Given Names _____ Surname _____

Date of Birth _____ Occupation _____ Country of Residence (if not Australian) _____

Designation [If designation is for a minor you will also need to provide cert birth certificate]

< _____ >

Residential Address [If ID supplied does not have CURRENT address utility bill confirming this must also be received]

State _____ Postcode _____

Postal Address (Confirmation notes and other mail will be sent to this address) Please tick if same as above

Contact Information

Home Phone _____ Work Phone _____

Facsimile _____ Mobile Phone _____

Shareholder Reference Details

Stock code	_____	Quantity	_____	SRN	_____
Stock code	_____	Quantity	_____	SRN	_____
Stock code	_____	Quantity	_____	SRN	_____
Stock code	_____	Quantity	_____	SRN	_____
Stock code	_____	Quantity	_____	SRN	_____
Stock code	_____	Quantity	_____	SRN	_____

Adviser Comments

Please ensure that the client is aware that this account can only be used to transact a 'once off' sell. As no client agreement is executed.

That said should they require a full service a/c in the future we can reactivate and send.

Category A - Primary ID with photograph

- must contain photograph and signature of person in whose name the document is issued.

1. Current Australian Driving licence
2. National Identity card (foreign)
3. Australian Passport (current or <2years expired) + 1 item category C
4. Current Foreign Passport + 1 item from Category C
5. Proof of Age card + 1 item from Category C

Category B - Primary Non-Photographic ID

1. Birth certificate (Australian or Foreign)
2. Birth extract (Australian only)
3. Citizenship certificate (Australian only)
4. Pension card issued by Centrelink

Category C - Secondary ID

1. Current International Drivers Licence
2. Current Foreign Drivers Licence
3. Interim Australian Drivers Licence
4. Australian Taxation Office Notice <12 months old
5. Rating Authority Bill <12 months old e.g Shire Bill

Acceptable ID for a Minor (person under 18 years of age)

1. Birth certificate or extract
2. Notice from a school principal recording the period of time the person attended the school

Notes:

1. Expired documents will not be accepted other than in the case of an Australian passport (within the preceding 2 years)
2. Any foreign documents not written in English must be accompanied by an English translation prepared by an accredited translator

People who can certify a document as a true copy of an original

1. Legal practitioner enrolled on the roll of the Supreme Court of a State or Territory or the High Court of Australia
 2. Judge of a court
 3. Magistrate
 4. CEO of a Commonwealth court
 5. Registrar or deputy registrar of a court
 6. Justice of the Peace
 7. Notary public
 8. Police officer
 9. Agent of Australia Post that is in charge of a post office
 10. Permanent employee of Australia Post employed in a post office*
 11. Australian consular/diplomatic officer
 12. Officer of a financial institution *
 13. Officer of a finance company *
 14. Officer/authorised representative of a holder of an Australian Financial Services licence*
 15. Member of the Institute of Chartered Accountants in Australia, CPA Australia or National Institute of Accountants*
 16. Public employees - current full-time employees of Commonwealth Government or Statutory Authorities, who have been employed for at least 5 years^
- * must have 2 or more continuous years of service/membership

If you ask someone to certify your documents you must make sure that the person certifying is on the above list, they use the wording "CERTIFIED TRUE COPY", they sign and date the copy and they print their name and profession or qualification.

Back Office Use Only

AML ID Requirements Met

Date / /