

5. ADDRESS DETAILS

Residential Address / Registered Office Address for Companies

(PO Box is not acceptable in the Residential Address Field)

Street Address

State Post Code Country

Postal Address Same as above

Postal Address

State Post Code Country

Registration Address

If no registration address is noted, your postal address will be used for your registration address.

Registration Address

State Post Code Country

6. CONTACT DETAILS

Please provide at least one contact number for the account.

Business Number Home Number

Mobile Number Fax Number

Email Address

The email address noted above will be used for Electronic Confirmations. If additional copies are required, please complete section 10

7. RESIDENCY STATUS - Are you an Australian Resident/Citizen? Please tick below for each Account Holder.

Client/Director 1 Yes No

If you answered 'No' please list Country of Residency below.

Client/Director 2 Yes No

If you answered 'No' please list Country of Residency below.

Client/Director 3 Yes No

If you answered 'No' please list Country of Residency below.

If 'NO' is ticked please complete an Overseas Resident Declaration Form and GST will not be charged.

8. STATUS OF APPLICANT

Are any of the Applicants, Directors, Responsible Officials, Partners, Authorised Representatives or Beneficiaries of this Account: affiliated with any other Participant of ASX Group; a government official; or having dealings with a government official of any country?

Yes No

If Yes, please provide details

9. DELIVERY DETAILS FOR TRADE CONFIRMATIONS

Shareholder Reference Details

Stock code	Quantity	SRN
<input type="text"/>	<input type="text"/>	<input type="text"/>
Stock code	Quantity	SRN
<input type="text"/>	<input type="text"/>	<input type="text"/>
Stock code	Quantity	SRN
<input type="text"/>	<input type="text"/>	<input type="text"/>
Stock code	Quantity	SRN
<input type="text"/>	<input type="text"/>	<input type="text"/>

10. Payment Method

Please select payment method from the below options:

Cheque Payment - Please note that cheque payment of sale proceeds may attract a \$16.50 (including GST) processing fee, which will be netted against proceeds. You do not need to sign this form if you choose this payment option.

Direct Credit - Please provide bank details below. Please note: we require your signature(s) below should you choose this payment option.

Bank: _____

BSB: _____ Account Number: _____

Account Name: _____

Signature of Investor 1/Director 1/Sole Director Date Full Name of Investor 1/Director 1/Sole Director

Signature of Investor 2/Director 2 Date Full Name of Investor 2/Director 2

Signature of Investor 3 Date Full Name of Investor 3

Adviser Comments

Please ensure that the client is aware that this account can only be used to transact a 'once off' sell as no client agreement is executed.

Should the client require a full service account in the future we can reactivate this account on receipt of signed client documentation

Category A – Certified copy of Primary ID with Photograph - *must contain photograph and signature of person in whose name the document is issued.*

1. Current Australian Driver's licence
2. National Identity card (foreign)
3. Australian Passport (current or <2years expired) + 1 item category C
4. Current Foreign Passport + 1 item from Category C
5. Proof of Age card + 1 item from Category C

Category B – Certified copy of Primary Non-Photographic ID

1. Birth certificate (Australian or Foreign)
2. Birth extract (Australian only)
3. Citizenship certificate (Australian only)
4. Government benefits card (Australian only), eg. Pension card

Category C – Certified copy of Secondary ID

1. Current International Driver's Licence
2. Current Foreign Driver's Licence
3. Interim Australian Driver's Licence
4. Australian Taxation Office Notice <12 months old
5. Rating Authority Bill <12 months old, eg. Shire Bill

Acceptable ID for a Minor (person under 18 years of age), certified copy

1. Birth certificate (Australia or Foreign) or extract (Australian only)
2. Notice from a school principal recording the name / residential address of the minor, and the period of time the person attended the school

Notes:

1. Expired documents will not be accepted other than in the case of an Australian passport (within the preceding 2 years)
2. Any foreign documents not written in English must be accompanied by an English translation prepared by an accredited translator

People who can certify a document as a true copy of an original:

1. Person enrolled on the roll of the Supreme Court of a State or Territory/the High Court of Australia
 2. Judge of a court
 3. Magistrate
 4. CEO of a Commonwealth court
 5. Registrar or deputy registrar of a court
 6. Notary public
 7. Police officer
 8. Agent of Australia Post that is in charge of a post office
 9. Permanent employee of Australia Post employed in a post office *
 10. Australian consular/diplomatic officer
 11. Officer of a financial institution *
 12. Officer of a finance company *
 13. Officer/authorised representative of a holder of an Australian Financial Services licence *
 14. Member of the Institute of Chartered Accountants in Australia, CPA Australia or National Institute of Accountants *
 15. Legal practitioner
 16. Medical practitioner (contact your Adviser for a current listing)
 17. Veterinary surgeon
 18. Clerk of a court
 19. Justice of the Peace, commissioner for affidavits/declarations
 20. Officer of the Australian Defence Force
 21. Member of local, state or federal Parliament
 22. Teacher employed on a full-time basis
- * must have 2 or more continuous years of service/membership

If you ask someone to certify your documents you must make sure that the person certifying is on the above list, that this person is independent of your account application (ie. Not an account holder/authorised signatory), that they use the wording "Certified True & Correct Copy of Original", and that they sign and date each document and print their name and profession / qualification.

Back Office Use Only AML ID Requirements Met

Date / /

11. CLIENT MUST RECEIVE THE FOLLOWING :

- (a) DJ Carmichael Financial Services Guide [Part L]
- (b) DJ Carmichael Terms and Conditions[Part M]
- (c) Pershing Financial Services Guide [Part K]
- (c) Pershing Equities Terms and Conditions [Part E]



DJ CARMICHAEL PTY LIMITED

ABN 26 003 058 857
Australian Financial Services Licence No 232571

Level 3, London House
216 St Georges Terrace
PERTH WA 6000

PO Box Z5186
PERTH WA 6831

Telephone: +61 8 9263 5200 Facsimile: +61 8 9263 5280
Toll free for country and interstate clients: 1800 198 003

Email: info@djcar michael.com.au
Webpage: www.djcar michael.com.au

Note: All our forms, Privacy Agreement and Terms and Conditions
can be downloaded from our website.