

**This Agreement** is made on the \_\_\_\_\_ **Between**

**D J CARMICHAEL PTY LIMITED ACN 003 058 857**  
of Level 11 Allendale Square, 77 St Georges Terrace Perth Western Australia 6000 (**'Broker'**)

**And ("the Client")**

Trading Account Number \_\_\_\_\_

Account Name \_\_\_\_\_

Designation < \_\_\_\_\_ >

Residential Address \_\_\_\_\_

(Do not use PO Box)

I/We hereby declare that:

- 1 I/We have received and read a copy of the Explanatory Booklet issued by the Australian Stock Exchange Limited ("ASX") in respect of Warrants.
- 2 I/We understand that neither Australian Options Market nor Australian Clearing House Pty Limited have any involvement whatsoever with Warrants.
- 3 I/We am/are aware that a Warrant has a limited life and cannot be traded after its expiry date.
- 4 I/We am/are aware that Warrants do not have standardised Terms of Issue and acknowledge that it is my responsibility to become aware of the Terms of Issue of any Warrant in which I choose to invest.
- 5 I/We am/are aware that Warrants may be subject to adjustments after their initial issue and I acknowledge that it is my responsibility to become aware of any adjustments which may have been made to any Warrant in which I choose to invest.
- 6 I/We am/are aware that admission to Trading Status of a Warrant does not imply that the ASX or the Securities Exchanges Guarantee Corporation Limited gives any guarantee or warranty as to the viability of the Warrant-Issuer or Guarantor.
- 7 I/We acknowledge that failure of the Warrant-Issuer or the Guarantor (if applicable) to fulfill their obligations does not give rise to a claim against the ASX, handling Market Participant or the Securities Exchange Guarantee Corporation Limited.




**Please note:** For this Agreement to be accepted by DJ Carmichael Pty Limited **pages 1 - 2** must be returned together.

**Before signing this form you must have read the explanatory booklet issued by the Australian Stock Exchange Limited. If you do not have a copy of the explanatory booklet and would like a copy sent to you please contact your adviser. We are not able to accept orders for the purchase of warrants until this form has been signed and returned to our office.**

Before signing please refer to our Terms & Conditions and Privacy Agreement. You may request a copy of either document or access both documents on our website via [www.djcarmichael.com.au](http://www.djcarmichael.com.au).

Note: Only legal entities are allowed to hold securities. Application Forms must be in the name(s) of a natural person(s), companies or other legal entities acceptable to the Company. At least one full given name and the surname is required for each natural person. Application Forms cannot be completed by persons under 18 years of age.

**Individual or Joint Account – Please sign below**



	_____	_____	_____
	Signature of Investor 1	Date	Full Name of Investor 1 (Please print)
	_____	_____	_____
	Signature of Investor 2	Date	Full Name of Investor 2 (Please print)
	_____	_____	_____
	Signature of Investor 3	Date	Full Name of Investor 3 (Please print)

**Company Account – Please sign below**

Executed by \_\_\_\_\_ Insert Company Name (Please print)

Executed In accordance with Section 127 of the Corporations Act 2001

Please indicate which type of Company. (Please tick)     Sole Director / Secretary     Two or more Directors

	_____	_____	_____
	Signature of Director 1	Date	Full Name of Director 1 (Please print)
	_____	_____	_____
	Signature of Director 2 / Secretary **	Date	Full Name of Director 2 / Secretary ** (Please print) ** Please delete which ever is not applicable.
	_____	_____	_____
	Signature of Director 3	Date	Full Name of Director 3 (Please print)

**DJC office use only: Execution by DJ Carmichael Pty Limited (A.B.N 26 003 058 857), Signed for DJ Carmichael Pty Limited by**

\_\_\_\_\_ Date / /