

**DJ CARMICHAEL PTY LIMITED**  
**APPOINTMENT OF AUTHORISED SIGNATORY**

You may wish to appoint a third party (eg. Spouse, Accountant, Financial Planner) to operate on your account. By appointing such a third party, the Applicant/s agree that DJ Carmichael Pty Limited may discuss with that person and may take instructions for the purchase and sale of securities from that person/s.

**Account Registration/Account Holder Details**

**[Compulsory]**

Trading Account Number \_\_\_\_\_

Account Name \_\_\_\_\_

Designation < \_\_\_\_\_ >

Residential Address \_\_\_\_\_

(Do not use PO Box)

**Please read the following, in conjunction with the Terms and Conditions brochure, before you appoint an Authorised Signatory on the above account. The following is an extraction from our Terms and Conditions.**

Section 15.1 The Authorised Signatory may do anything that the Client is entitled to do under these terms and conditions.

Section 15.2 The Broker is entitled to act on the instructions of the Authorised Signatory in accordance with these terms and conditions without reference to the Client.

Section 15.3 All obligations to be fulfilled by the Client under these terms and conditions are the sole responsibility of the Client and the Client indemnifies the Broker against any losses and liabilities incurred directly or indirectly by the Broker as a result of the Broker action in accordance with instructions provided to the Broker by the Authorised Signatory.

Section 15.4 This section continues to apply in relation to an Authorised Signatory until the Client provides the Broker with written notice that the appointment of that Authorised Signatory is cancelled or until the Broker provides the Client with written notice that the appointment of that Authorised Signatory is cancelled.

**Authorised Signatory Details (3<sup>rd</sup> Party)**

**[Compulsory]**

This form can not be processed unless a **clear copy of certified identification** with a specimen signature is provided for the **Authorised Signatory**. Please attach a clear copy of their Drivers Licence or Passport.

Full Name \_\_\_\_\_ (As per Drivers Licence)

Residential Address \_\_\_\_\_

Postal Address \_\_\_\_\_ (if not same as above)

Home phone \_\_\_\_\_

Work phone \_\_\_\_\_

Mobile \_\_\_\_\_

Facsimile \_\_\_\_\_

Date of Birth \_\_\_\_\_


Email \_\_\_\_\_

Drivers licence Number \_\_\_\_\_

Gender (Please tick)  Male  Female

Contact person if Authorised Signatory is a Company \_\_\_\_\_

ABN if Authorised Signatory is a Company \_\_\_\_\_

<b>Authorised Signatory to sign here</b> 	Date     /     /
--	------------------

**EXECUTION PAGE - THIS PAGE IS TO BE SIGNED BY THE ORIGINAL ACCOUNT HOLDER(S)**

Before signing please refer to our Terms & Conditions and Privacy Agreement. You may request a copy of either document or access both documents on our website via [www.djcarmichael.com.au](http://www.djcarmichael.com.au).

Note: Only legal entities are allowed to hold securities. Application Forms must be in the name(s) of a natural person(s), companies or other legal entities acceptable to the Company. At least one full given name and the surname is required for each natural person. Application Forms cannot be completed by persons under 18 years of age.

Important: If any account documentation is outstanding this request will not be processed until all requirements have been met.

**Individual or Joint Account – Please sign below**



\_\_\_\_\_  
Signature of Investor 1

\_\_\_\_\_  
Date

\_\_\_\_\_  
Full Name of Investor 1 (Please print)



\_\_\_\_\_  
Signature of Investor 2

\_\_\_\_\_  
Date

\_\_\_\_\_  
Full Name of Investor 2 (Please print)



\_\_\_\_\_  
Signature of Investor 3

\_\_\_\_\_  
Date

\_\_\_\_\_  
Full Name of Investor 3 (Please print)

**Company Account – Please sign below**

Executed by \_\_\_\_\_ Insert Company Name.

Executed In accordance with Section 127 of the Corporations Act 2001

Please indicate which type of Company. (Please tick)  Sole Director / Secretary  Two or more Directors



\_\_\_\_\_  
Signature of Director 1

\_\_\_\_\_  
Date

\_\_\_\_\_  
Full Name of Director 1 (Please print)



\_\_\_\_\_  
Signature of Director 2 / Secretary \*\*

\_\_\_\_\_  
Date

\_\_\_\_\_  
Full Name of Director 2 / Secretary \*\* (Please print)

\*\* Please delete which ever is not applicable.



\_\_\_\_\_  
Signature of Director 3

\_\_\_\_\_  
Date

\_\_\_\_\_  
Full Name of Director 3 (Please print)

**DJC office use only: Execution by DJ Carmichael Pty Limited (A.B.N 26 003 058 857), Signed for DJ Carmichael Pty Limited by**

\_\_\_\_\_  
Date / /